

Metropolitan Transportation Commission Programming and Allocations Committee

February 8, 2006

Item Number 5a

Resolution Nos. 3688, Revised and 3714, Revised

Subject:	FY 2005-06 through FY 2007-08 Transit Capital Priorities Criteria and Program
Background:	<p>The Transit Capital Priorities (TCP) Criteria are the MTC programming guidelines for distributing the Federal Transit Administration (FTA) formula funds to eligible transit operators in the region. Subsequent to Commission approval of the policy in March 2005, MTC issued a call for projects, which resulted in a surplus of \$210 million after programming all high scoring capital projects. Working with members of the Partnership, MTC staff developed proposed revisions to the policy for programming the surplus funds.</p> <p>At its October 3, 2005 meeting, the Partnership Board agreed to the following programming proposal, which is summarized in the table on page 3 of the attached memo:</p> <ul style="list-style-type: none">• \$1 million will be set-aside for developing an improved transit capital inventory.• Caltrain will receive an additional \$11 million to increase two high-scoring capital project caps from \$7.5 million to \$13 million in FY 2006-07 and FY 2007-08.• The balance of funds will be split with 80%, or \$162 million, distributed using the TCP 10% flexible set-aside formula and 20%, or \$39 million, directed to high priority capital projects. The 20% will be prioritized in the following order:<ol style="list-style-type: none">1. First priority will be to fund projects to meet the California Air Resources Board's (CARB) Transit Fleet Rule between 2007 and 2009.2. Second priority will be to fund homeland security projects.3. Third priority will be to meet other unexpected score 16 needs. Eligible CARB and security projects will exhaust the projected funds available, therefore, it is unlikely that additional score 16 needs will be funded. <p>Attachment A of Resolution No. 3714, Revised includes the projects that are proposed for programming with the surplus funds and will be made part of TIP amendment 05-19, which will be considered for adoption into the TIP by the Commission in March 2006. TIP amendment 05-19 will be released for public comment on February 7, 2006. Comments will be received until March 8, 2006.</p>
Issues:	<p>On December 20, 2005, subsequent to reaching a consensus by the Partnership Board on the proposed policy guidelines for programming the surplus funds, FTA released the FY 2005-06 federal appropriations. The FY 2005-06 actual appropriations are roughly \$6.5 million lower than anticipated. There is a sufficient surplus to absorb the FY 2005-06</p>

reduction in most urbanized areas (UA). The San Jose UA has a significant shortfall. TIP amendment 05-19 will include changes to the program to constrain the San Jose UA to actual appropriations. TIP Amendment 05-19 will also include adjustments to the program such as converting Americans with Disabilities (ADA) operating to capital as provided by the TCP Policy. The shortfall in FY 2005-06 reduces the anticipated carry-over funds available for FY 2006-07 and FY 2007-08 resulting in shortfalls for some UAs in these two years. MTC staff will work with the transit properties to constrain the last two years of the program should a shortfall still exist after the FTA appropriations are released in FY 2006-07 or FY 2007-08.

Recommendations: Refer MTC Resolution Nos. 3688, Revised and 3714, Revised to the Commission for approval.

Attachments: Executive Director's Memorandum
MTC Resolution No. 3688, Revised
MTC Resolution No. 3714, Revised



**METROPOLITAN
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COMMISSION**

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Memorandum

TO: Programming and Allocations Committee

DATE: February 8, 2006

FR: Executive Director

W.I.: 1512

RE: Transit Capital Priorities Criteria, MTC Resolution No. 3688, Revised,
and FY 2006-07 FTA Section 5307 and 5309 Fixed Guideway Programs,
MTC Resolution 3714, Revised

Introduction

The Transit Capital Priorities (TCP) Process and Criteria are the MTC programming guidelines for distributing the Federal Transit Administration (FTA) Section 5307 and 5309 Fixed Guideway (FG) formula funds to transit operators in the region. In March, the Commission approved the preventive maintenance elements of this policy, and in April the Commission approved the remaining TCP policy elements.

Subsequent to Commission approval of the policy, MTC made a call for projects in March 2005 to program approximately \$940 million in available funds for the three-year period FY 2005-06 through FY 2007-08. This call for projects resulted in a \$210 million surplus of funds after meeting all score 16 and score 15 project needs. In July 2005, the Commission adopted the proposed program which consisted of approximately \$732 million in high scoring transit capital projects.

Outlined below are proposed revisions to the TCP policy for programming the balance of funds, as well as the recommended program for the surplus funds.

Background

Given the significant transit shortfalls identified in Transportation 2030, the \$210 million surplus of funds was unexpected. The surplus of funds can be attributed to the following factors, which appear to have converged within this upcoming three-year programming window:

- Many operators are still coping with recession-induced operating shortfalls requiring service reductions, forcing operators to defer fleet and other capital replacements.
- Most operators delayed bus replacements until engine technology catches up with California Air Resource Board and EPA fleet emissions standards.
- The Transportation 2030 projected transit capital shortfall is based on total, uncapped need. FTA programming eligibility policy assumes project funding at the lower capped levels.
- Transit capital needs are cyclical in nature. Based on 10-year TCP data, the next significant spike will occur in FY 2013-14.

Policy Changes

In July 2005, the Commission deferred programming the balance of funds pending further discussion among members of the Partnership. MTC staff, working with the Partnership Board, developed various programming options, including programming the funds based on the existing policy guidelines, which would prioritize funds in score order. On October 3, 2005, the Partnership Board reached a consensus for distributing the funds. The changes are included as part of Attachment A, beginning on page 25 of 30, and as set forth below:

- \$1 million will be set-aside for developing an improved transit capital inventory. Over the past several years, MTC has struggled with accurately capturing the region's transit capital needs. This has proven particularly challenging with respect to the region's five rail systems currently eligible for FTA funds. A more accurate inventory will help inform policy and programming decisions to assure that the regional funds are being used effectively.
- Caltrain will receive an additional \$11 million to increase high scoring capital project caps from \$7.5 million to \$13 million. The Transit Capital Priorities Process and Criteria imposes caps on fixed guideway and other transit capital projects so that the funds are more evenly distributed between large and small operators in any given year. When the FY 2005-06 through FY 2007-08 Transit Capital Priorities Process and Criteria was originally adopted, it included increasing some project caps for BART and Muni because these properties have significantly larger needs than other operators. This revision to the policy would put Caltrain's caps at par with BART and Muni's caps for the two-year period, FY 2006-07 and FY 2007-08.
- The balance of funds will be split 80% based on the TCP 10% flexible set-aside formula and 20% to high priority capital projects. Eighty percent or roughly \$162 million will be distributed based on the 10% TCP Flexible Set-aside formula, which distributes the funds on a formula of ridership and FTA revenue factors, weighted evenly. Operators with a Transportation 2030 shortfall must prioritize these funds for high scoring capital projects, or make "best efforts" to put funds in reserve for future high scoring capital projects. These operators are AC Transit, BART, GGBHTD, and Vallejo. Operators elected to program the \$162 million as follows: \$91.2 million or 56% on score 16 capital projects, \$28.2 million or 17 percent on preventive maintenance, \$23.7 million or 15 percent on expansion, \$15.4 million or 10% on other rehabilitation and enhancement projects, and \$3.9 million or 2 percent on safety and security projects.

The remaining 20% or roughly \$39 million will be directed to high priority capital needs in the following order:

- o First priority will be projects required to meet the California Air Resources Board's (CARB) Transit Fleet Rule between 2007 and 2009, which mandates operators on the diesel path meet specific fleet emission averages decreasing over time. Prior to the initial call for projects, most operators in the MTC region on the diesel path were not able to procure buses between 2004 and 2009 because buses that met the California diesel bus emissions standard were not available for purchase. Most operators in the region were able to repower and retrofit buses with filters that reduce Oxides of Nitrogen (NOx) and Particulate Matter (PM) allowing them to meet their fleet averages through 2009. In October 2005, after MTC made its initial call for projects, CARB approved changes to the Fleet Rule that would allow operators on the diesel path to appeal to the CARB executive director to procure buses providing that they could retrofit an older bus with a NOx/PM reduction device. There are three operators in the region that will not meet their fleet

averages without purchasing buses: Livermore Amador Valley Transit Authority (LAVTA), Western Contra Costa Transit Authority (WestCAT), and Vallejo. The balance of funds will be used to procure new buses for these operators and NOx/PM reduction devices for these operators' buses and for those operators who had previously programmed buses pending CARB changes to the Transit Fleet Rule.

- o Second priority will be distributed to operators who have been selected by the Department of Homeland Security to receive high priority security funds. The events of September 11th and subsequent attacks on transportation systems prompted FTA to embark on a national security risk assessment of the nation's transit operators. This information was used, in part, to determine sponsor eligibility and to formulate the requirements for the Department of Homeland Security Grant requirements. These requirements include developing a *regional vision* for prioritizing the funds. The regional vision, developed in conjunction with operators designated to receive Homeland Security funds, entails five criteria used to determine a list of eligible projects. Under the proposal, projects slated to receive Homeland Security funds would be prioritized for matching funding using the security element of the surplus funds. The proposal requires that operators receiving FTA funds for high priority security projects use a portion of their 10% flexible set-aside, or some other identifiable fund source to assure that the project is fully funded and ready to be implemented. Operators eligible to receive these funds include AC Transit, ACE, BART, Caltrain, GGBHTD, and S.F. Muni.
- o Third priority will be to meet other unexpected score 16 needs. Based on MTC projected revenues, there are currently no funds available after accounting for CARB and security needs to fund any additional score 16 projects.

Table 1 summarizes the distribution of the baseline program approved by the Commission in July 2005 as well as the surplus funds under these priorities:

Table 1. Distribution of Surplus FTA Formula Funds

Operator	Baseline - Score 16 Program	\$1 Million for Transit Capital Inventory	Lift Caps for Caltrain to \$13M (FY 2007-FY 2008)	CARB	Security	80% Using Flexible Set-Aside	Total
AC Transit	51,707,945				6,250,780	20,856,157	78,814,882
ACE	6,667,662				174,062	2,409,224	9,250,948
BART	144,116,771				19,424,309	54,234,558	217,775,637
Benicia	260,548					0	260,548
Caltrain	74,838,744		11,000,000		2,875,807	4,424,561	93,139,112
CCCTA	6,318,955					3,742,249	10,061,204
ECCTA	4,383,387			120,000		3,220,471	7,723,858
Fairfield	6,333,177					0	6,333,177
GGBHTD	63,667,756			2,060,000	393,000	7,109,362	73,230,118
LAVTA	8,221,689			240,000		2,031,009	10,492,698
Napa VINE	4,012,632					0	4,012,632
SF Muni	176,347,012				2,270,374	54,487,992	233,105,378
Samtrans	29,126,516			1,520,000		6,383,508	37,030,024
SR City Bus	7,638,173						7,638,173
Sonoma Cty	3,497,943					124,267	3,622,211
Union City	931,397					240,697	1,172,095
Vacaville	4,451,817					0	4,451,817
Vallejo	12,938,708			1,563,057		2,603,612	17,105,377
VTa	122,788,342		(2,731,690)			0	120,056,652
Westcat	2,808,916			2,266,114		616,817	5,691,847
Regional - Operator Distribution		1,000,000					1,000,000
Total	731,058,090	1,000,000	8,268,310	7,769,171	31,388,331	162,484,485	941,968,388

The proposed amendments to the FY 2005-06 and FY 2007-08 Proposed FTA (FTA) Section 5307 and 5309 Fixed Guideway (FG) Programs to include projects funded with the surplus funds is included as part of Attachment A of MTC Resolution No. 3714, Revised.

FY 2005-06 Apportionments and 1% Reduction in Appropriations

On December 20, 2005, subsequent to the Partnership Board agreement for programming the surplus funds, FTA released the FY 2005-06 appropriations. The final appropriation levels include a 1% rescission enacted by Congress. As a result, the region's FTA formula funds are roughly \$6.5 million below the SAFETEA revenue estimates, which were used to calculate the surplus. With the exception of the San Jose UA, the FY 2005-06 had sufficient surplus amounts to absorb the take down in funding to fully fund the FY 2005-06 program. However, the reduction in funds limits the amount of carry-over funds available in FYs 2006-07 and 2007-08 resulting in a projected shortfall in these years. MTC staff will work with the transit properties to develop a policy to constrain the program when the final FYs 2006-07 and 2007-08 FTA appropriations are published and the actual funding levels are known.

Amendment 05-19 to the 2005 Transportation Improvement Program

In March, staff will present TIP amendment 05-19, which will include projects programmed with the \$210 million in surplus FTA funds in addition to other program changes, including adjustments to Americans with Disabilities Act (ADA) operating projects in accordance with TCP policy, and adjustments to the San Jose UA program to constrain the FY 2005-06 program. TIP amendment 05-19 will be released to the public for comments on February 1, 2006. The public will have an opportunity to comment on the proposed TIP amendment until March 8, 2006.

Recommendation

Staff recommends that the Programming and Allocations Committee refer changes to the FY 2005-06 through FY 2007-08 Transit Capital Priorities Criteria MTC Resolution No. 3688, Revised and the FY 2005-06 and FY 2007-08 FTA Section 5307 and Fixed Guideway Programs Resolution No. 3714, Revised to the Commission for approval.

Steve Heminger

Attachments
SH:GT

Date: March 23, 2005
W.I.: 1512
Referred By: PAC
Revised: 04/27/05-C
02/22/06-C

ABSTRACT

Resolution No. 3688, Revised

This resolution approves the process and establishes the criteria for programming preventive maintenance in the San Francisco Bay Area for the FY 2005-06 through the FY 2007-08 using Federal Transit Administration (FTA) Section 5307 funds. Additional sections comprising the entire Transit Capital Priorities Criteria, the policy guidelines for programming the FTA Section 5307 and 5309 Fixed Guideway (FG) funds, will be added at a later date.

This resolution was amended on April 27, 2005 to incorporate the remaining policy guidelines for programming the FTA Section 5307 and 5309 FG for the FY 2005-06 through FY 2007-08.

This resolution was amended on February 22, 2006 to incorporate policy changes for programming roughly \$210 million of FY 2006-07 and FY 2007-08 FTA formula funds, the balance of funds available after programming all eligible high-scoring capital projects.

Further discussion of the Transit Capital Priorities Policy is contained in the "Executive Director" memorandum and the Programming and Allocations Summary Sheets dated March 2, 2005, April 13, 2005, and February 8, 2006.

**Programming Balance (Estimated at \$210 Million) in FY 2006-07 and FY 2007-08
FTA Formula Funds**

In March 2005, MTC made a call for projects to program three years of FTA formula funds. The call for projects resulted in a surplus of funds. After applying the standard Transit Capital Priorities criteria, projects eligible for programming totaled only \$732 million. This left roughly \$210 million in surplus funds for future programming. New policy guidelines were developed to fully program the funds.

The surplus funds will be prioritized for programming as follows:

- \$1 million will be set aside for developing an improved transit capital inventory.
- Caltrain's project caps for two of their high scoring fixed guideway projects will be increased to \$13 million in FY 2006-07 and FY 2007-08 only, resulting in an \$11 million increase in funding for Caltrain.
- 20%, or \$39 million, of the balance of funds will be set aside for future high scoring capital projects, prioritized as follows:
 - o First priority will be projects required to meet the California Air Resources Board's Transit Fleet Rule pertaining to diesel bus engine emission standards, which was revised in October 2005. The rule change will allow operators to procure diesel buses providing that an older vehicle is retrofitted with an emission reduction device. Eligible projects include buses required to meet fleet average emission standards and emission-reducing filters required as mitigation for new bus purchases.
 - o Second priority will be projects to meet high priority security needs not otherwise funded by Department of Homeland Security (DHS) grants. Security projects must be consistent with projects submitted for DHS consideration, and project sponsors receiving surplus funds for security projects must fully fund the project by using a portion of their surplus funds distributed based on the Transit Capital Priorities 10% flexible set-aside formula (see below) or another verifiable funding source. Project sponsors eligible to receive these funds include AC Transit, ACE, BART, Caltrain, GGBHTD and SF Muni.
 - o Third priority will be other unexpected score 16 needs.
- The remaining 80%, or roughly \$162 million, will be distributed based on the Transit Capital Priorities (TCP) 10% flexible set-aside formula. Project sponsors with score 16 shortfalls in Transportation 2030 will prioritize score 16 capital projects. These operators include AC Transit, BART, GGBHTD, and Vallejo. The 10% flexible set-aside formulas are shown on page 20 of 30 of Attachment A, herein.

- Projects programmed in the initial program approved by the Commission will have priority over surplus-funded projects if reductions in the program are necessitated by reductions in the region's FTA formula funds.

Date: July 27, 2005
W.I.: 1512
Referred By: PAC
Revised: 02/22/06-C

ABSTRACT

Resolution No. 3714, Revised

This resolution approves the FY 2005-06 through FY 2007-08 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) funds for inclusion in the 2005 Transportation Improvement Program (TIP).

This resolution was amended on February 22, 2006 to program \$210 million of FY 2006-07 and FY 2007-08 FTA formula funds, the balance of funds estimated to be available after programming all eligible high-scoring capital projects.

Further discussion of the regional TCP is contained in the Executive Director's Memorandum dated July 13, 2005 and February 8, 2006.

FY 2005-06 FTA Section 5307 and 5309 Fixed Guideway Programs				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<i>Revenue Projections</i>			189,690,000	107,242,000
<i>Less FTA Liaison Position</i>			80,000	0
<i>Previous Year Committed Carryover²</i>			3,174,344	0
<i>Previous Year Uncommitted Carryover</i>			0	0
<i>Sub-Total</i>			192,784,344	107,242,000
<i>Enhancement Requirement</i>			1,947,022	
<i>Revised Total</i>			192,784,344	107,242,000
<u>Programming Commitments</u>				
SM-050042	Caltrain	Replacement of 1950's Budd Trailer Cars ³	3,735,331	
SM-030006	Caltrain	Track Rehab (track/civil structure rehab, grade crossing repl) ³	1,954,708	
REG050002	Caltrain	Signal System Rehab/Replacement/Upgrade ³	1,595,914	
SM-030029	Caltrain	Fare Equipment ³	338,816	
SM-030014	Caltrain	Rapid Rail Commitment ⁶		13,892,346
SM-030014	Caltrain	Rapid Rail Commitment - FY 2003-04 ⁶		2,348,869
SF-970105	Muni	Metro East Maintenance Facility ⁷		973,507
<u>ADA Set-aside</u>				
ALA990076	AC Transit	ADA Operating Set-aside	3,895,935	
ALA050042	ACE	ADA Operating Set-aside	488,130	
REG050007	BART	ADA Operating Set-aside	2,879,766	
REG050001	Caltrain	ADA Operating Set-aside	981,680	
CC-990045	CCCTA	ADA Operating Set-aside	637,742	
CC-030035	ECCTA	ADA Operating Set-aside	444,079	
MRN99T001	GGBHTD	ADA Operating Set-aside	1,103,818	
ALA990077	LAVTA	ADA Operating Set-aside	270,128	
NAP030004	Napa VINE	ADA Operating Set-aside	21,190	
SF-990022	Muni	ADA Operating Set-aside	3,696,737	
SM-990026	SamTrans	ADA Operating Set-aside	982,890	
SCL050046	SCVTA	ADA Operating Set-aside	3,298,610	
SOL990040	Vallejo Transit	ADA Operating Set-aside	550,949	
CC-990045	WestCat	ADA Operating Set-aside	106,866	
<u>10% Flexible Set-Aside</u>				
ALA010034	AC Transit	Maintenance Facility & Equipment Upgrades	1,100,000	
ALA050041	AC Transit	Information Systems Upgrade	873,697	
SCL050043	ACE	Santa Clara Platform and Pedestrian Improvements	225,263	
REG050006	BART	Strategic Maintenance Program	4,994,119	
REG050004	Caltrain	Caltrain Construction Support Equipment	418,713	
SM-030006	Caltrain	Track Rehab	362,594	
CC-030034	CCCTA	Preventive Maintenance	325,839	
CC-030037	ECCTA	Preventive Maintenance	197,603	
CC-050044	ECCTA	Replace Old Building HVAC System	100,000	
MRN991039	GGBHTD	Management Information System	169,227	
MRN050024	GGBHTD	Computerized Dispatch Upgrade	240,000	
SON050023	GGBHTD	Santa Rosa Fuel Tank Replacement	300,000	
ALA030030	LAVTA	Preventive Maintenance	219,893	
SF-050026	Muni	Escalator Rehabilitation	2,000,000	
SF-010041	Muni	Preventive Maintenance	3,000,000	
SF-030009	Muni	Trolley Coach Rebuild 60 Articulated New Flyers	156,406	
NAP97AM58	Napa	Preventive Maintenance	164,853	
SM-030023	SamTrans	Preventive Maintenance	604,096	
SON030005	Sonoma Transit	Preventive Maintenance	29,104	
ALA050044	Union City	Preventive Maintenance	22,778	
SOL030019	Vallejo	Preventive Maintenance	246,390	
SOL991055	Vallejo	Bus Maintenance Facility Rehab	263,381	
SCL990046	VTA	Preventive Maintenance	3,500,073	
CC-030025	WestCat	Preventive Maintenance	58,372	
Total Program Set-asides and Commitments			46,555,690	17,214,722
Funds Available for Programming			146,228,654	90,027,278

FY 2005-06 FTA Section 5307 and 5309 FG Program -Continued				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
Capital Projects				
ALA991070	AC Transit	Preventive Maintenance ⁵	13,776,000	
ALA990052	AC Transit	Paratransit Vehicle Lease	732,536	
ALA010056	ACE (SJRC)	Track Improvements	402,774	
ALA050043	ACE (SJRC)	Signal System Rehabilitation		1,057,000
BRT971001	BART	Rail, Way and Structures Program	12,139,789	860,211
BRT030009	BART	System Wide Safety Project	2,779,382	
BRT030004	BART	Train Control Renovation		13,000,000
BRT030005	BART	Traction Power		13,000,000
SOL050034	Benicia	Replace 1 1997 cut-away	62,370	
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		7,500,000
MTCC050033	Caltrain	Signal/Communication Rehabilitation		3,257,280
REG050003	Caltrain	Replacement of 1950's Budd Trailer Cars		3,948,298
CC-050042	ECCTA	Bus Security Monitoring Systems	9,068	
CC-050045	ECCTA	Security Camera, Equipment & Farebox Replacement	35,735	
SOL010006	Fairfield	Operating assistance	1,848,954	
SOL030002	Fairfield	Fairfield/Vacaville Intermodal Rail Station	200,000	
MRN050026	GGBHTD	Replace MS Sonoma Ferry Vessel	3,082,922	7,317,078
MRN050021	GGBHTD	Replace (40) 1994 40' Flexible buses	15,698,302	
MRN050022	GGBHTD	Replace 29 - 1991 40' TMC buses	8,597,269	
ALA050048	LAVTA	Repl. 12 1983 40' RTS buses with hybrid buses	5,930,774	
ALA050050	LAVTA	LAVTA Facilities Security Program	8,338	
SF-030010	Muni	Motor coach repl 455 - 51 40' new flyers	3,784,409	
SF-030011	Muni	Repl 35 -30' 1990 Orions buses with 30 30' & 5 40' hybrid buses	8,775,229	
SF-030012	Muni	Repl.10 1998 and 24 2001 mini vans with cut-aways	2,120,584	
SF-950037	Muni	SF Muni Rail Replacement Program 1998-20	8,374,700	
SF-010041	Muni	Preventive Maintenance ^{1,4}	13,991,486	
SF-030013	Muni	Wayside fare collection equip		3,882,375
SF-970073	Muni	Cable car vehicle renovation program		1,529,887
SF-99T002	Muni	Cable Car Traction Power & Guideway Rehab		7,500,000
SF-050024	Muni	Wayside Train Control		2,568,000
SF-970170	Muni	Trolley Overhead Reconstruction		13,000,000
NAP970010	Napa	Operating assistance	988,373	
NAP97AM58	Napa	Preventive Maintenance	88,000	
NAP030005	Napa	Bus Stop/Facility Improvements	20,000	
NAP050013	Napa	On Board Equipment	15,779	
SON030011	SantaRosa Bus	Operating assistance	2,446,444	
SON030012	SantaRosa Bus	Bus Stop Enhancements	24,712	
SON030005	Son Co Transit	Preventive Maintenance	815,763	
SON050021	Son Co Transit	Bus Stop Improvement Project	8,237	
ALA050045	Union City	Replace One 1996 Dodge Intrepid with Paratransit Van	45,109	
ALA050046	Union City	Replace (1) 1991 30' Bus with 35' Bus	321,510	
ALA050047	Union City	Replace (2) 1998 and (2) 1999 paratransit vans	494,374	
SOL030011	Vallejo	Operating assistance	2,000,000	
SOL030022	Vallejo	Ferry Major Components Rehabilitation	220,699	
SOL050037	Vacaville	Replace (7) 1995 30' Gillig Phantom Buses	1,440,283	
SCL990046	VTA	Preventive Maintenance	26,222,317	
SCL030005	VTA	Guadalupe Corridor LRT Platform Rehab & Retrofit	1,381,690	118,403
SCL050045	VTA	ADA Bus Stop Improvements - Transit Enhancement	240,000	
SCL050047	VTA	De Anza Transit Center - Transit Enhancements	135,889	
SCL050048	VTA	CCTV / Video on Demand SecuritySystem	390,000	
CC-990045	WestCat	Replace (3) 1996 Thomas 35' buses.	964,531	
Total Program			140,614,332	78,538,532
Fund Balance			5,614,322	11,488,746

Notes:

The 2/22/06 revision includes revised revenue projections and the programming of \$210,910,298 of additional projects following the Transit Capital Priorities policy contained on pages 25 and 26 of MTC Resolution 3688, Revised.

- Muni has a \$4,625,300 balance of Preventive Maintenance Flexibility Per MTC Resolution 3515, the FY 2003-04 Interim TCP policy. Muni has pushed out \$4,625,300 in Rail Replacement to accommodate this action.
- \$3,174,344 committed carryover from (24) articulated bus rehab project in FY 2004-05. Muni decided to replace 12 of the vehicles instead.
- Programming commitments for Caltrain fulfills FY 2003-04 and FY 2004-05 commitments made during initial programming negotiations with VTA of \$7.6 million.
- Muni is exchanging replacement of 10 30' 1991 Orions for Preventive Maintenance and 24 1991 New Flyer Articulated Buses (12 of which were originally programmed in FY 2004-05). Total amount of funding for preventive maintenance capital exchange - \$9,366,186.
- AC Transit is exchanging replacement of 35 1988 40' Flyers and a portion of the (60) 1993 40' Gilligs totaling \$13,776,000 for preventive maintenance in accordance with the TCP Preventive Maintenance Policy.
- Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas, did not wish to participate in the ADA or 10% flexible set-aside programming elements.
- Muni's Metro East Maintenance Facility (part of the FG commitments) totaling \$940,586 was accidentally eliminated from the FY 2005 program. This amount was escalated by 3.5%.
- \$4,847,654 of the Rapid Rail Commitment deferred to FY 2006-07 and escalated by 3.5% to accommodate VTA's project request.
- ACE has also elected to not claim funds in the Livermore and San Jose UAs. This limits the amount of funding that ACE can receive from the remaining eligible UAs (San Francisco-Oakland and Concord) to \$1,057,000 per eligible project category.

FY 2006-07 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
Revenue Projections			197,323,000	111,637,000
Less FTA Liaison Position			80,000	0
Previous Year Committed Carryover			0	0
Previous Year Carryover			5,614,322	11,488,746
Inter -Urbanized Area Transfer			0	
Sub-Total			202,857,322	123,125,746
Enhancement Requirement			1,972,760	
Revised Total			202,857,322	123,125,746
<u>Programming Commitments</u>				
SM-030014	Caltrain	Rapid Rail Commitment		7,256,972
<u>PY Deferments</u>				
MRN030013	GGBHTD	Repl 6 paratransit vans	352,204	
MRN030014	GGBHTD	Repl 8 paratransit vans	482,088	
<u>ADA Set-Aside</u>				
ALA990076	AC Transit	ADA Set-aside	4,012,813	
ALA050042	ACE	ADA Set-aside	502,774	
REG050007	BART	ADA Set-aside	2,966,158	
REG050001	Caltrain	ADA Set-aside	1,011,130	
CC-990045	CCCTA	ADA Set-aside	656,875	
CC-030035	ECCTA	ADA Set-aside	457,402	
MRN99T001	GGBHTD	ADA Set-aside	1,136,933	
ALA990077	LAVTA	ADA Set-aside	278,232	
NAP030004	Napa VINE	ADA Set-aside	21,826	
SF-990022	SF Muni	ADA Set-aside	3,807,639	
SM-990026	SamTrans	ADA Set-aside	1,012,377	
SCL050046	SCVTA	ADA Set-aside	3,397,568	
SOL990040	Vallejo Transit	ADA Set-aside	567,478	
CC-990045	Westcat	ADA Set-aside	110,072	
<u>10% Flexible Set-Aside</u>				
ALA010034	AC Transit	Maintenance Facility & Equipment Upgrades	1,032,908	
ALA050041	AC Transit	Information Systems Upgrade	1,000,000	
SCL050043	ACE	Santa Clara Platform and Pedestrian Improvements	232,021	
REG050006	BART	Strategic Maintenance	5,143,942	
SM-030006	Caltrain	Track Rehab	804,746	
CC-030034	CCCTA	Preventive Maintenance	335,614	
CC-030037	ECCTA	Preventive Maintenance	306,532	
MRN050025	GGBHTD	Facilities Rehabilitation	280,000	
MRN010034	GGBHTD	Preventive Maintenance	450,504	
ALA030030	LAVTA	Preventive Maintenance	226,490	
SF-050025	Muni	Flynn Facility Ventilation	1,311,098	
SF-050026	Muni	Escalator Rehabilitation	2,000,000	
SF-050028	Muni	Facility Safety Improvements	1,000,000	
SF-991001	Muni	Woods Maintenance Facility Rehab	1,000,000	
NAP97AM58	Napa VINE	Preventive Maintenance	169,799	
SM-030023	SamTrans	Preventive Maintenance	622,219	
SON030005	Sonoma Transit	Preventive Maintenance	29,977	
ALA050044	Union City	Preventive Maintenance	23,461	
SOL030019	Vallejo	Preventive Maintenance	253,781	
SOL991055	Vallejo	Bus Maintenance Facility Rehab	271,283	
SCL990046	MTA	Preventive Maintenance	3,605,075	
CC-030025	Westcat	Preventive Maintenance	60,123	
Total Program Set-asides and Commitments			40,933,140	7,256,972
Funds Available for Programming			161,924,182	115,868,774

FY 2006-07 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Capital Projects</u>				
ALA991070	AC Transit	Preventive Maintenance	17,525,305	
ALA990052	AC Transit	Paratransit Vehicle Lease	754,512	
ALA050043	ACE (SJRC)	Signal System Rehabilitation	258,676	798,324
ALA010056	ACE (SJRC)	Track Improvements		1,057,000
BRT030004	BART	Train Control Renovation	3,894,994	9,105,006
BRT030005	BART	Traction Power		13,000,000
BRT971001	BART	Rail, Way and Structures Program		13,000,000
SOL050036	Benicia	Replace (1) 2000 cutaway bus	64,553	
MTC050033	Caltrain	Signal/Communication Rehabilitation	862,793	2,161,207
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		7,500,000
CC-050043	ECCTA	Replace 6 1995 40' Gillig buses	2,046,117	
SOL010006	Fairfield	Operating assistance	2,110,447	
MRN990017	GGBHTD	Ferry channel & berth dredging.	489,453	1,510,547
MRN030010	GGBHTD	Fixed Guideway Connectors		2,000,000
ALA050049	LAVTA	Replace 3 1999 24' paratransit	370,780	
NAP970010	Napa	Operating assistance	1,145,532	
SF-99T005	MUNI	Historic Rail Car rehabilitation	5,499,496	2,294,104
SF-970073	MUNI	Cable car vehicle renovation program		806,400
SF-99T002	MUNI	Cable Car Traction Power & Guideway Rehab		7,500,000
SF-050024	MUNI	Wayside Train Control		2,844,000
SF-950037	MUNI	SF Muni Rail Replacement Program 1998-20		13,000,000
SF-970170	MUNI	Trolley Overhead Reconstruction		13,000,000
SM-050037	SamTrans	Replace 19 1999 El Dorado paratransit vans	2,430,464	
SM-050038	SamTrans	Purchase 10 Minivan 2002 4-Year PT Vehicles	466,874	
SON030011	SantaRosa Bus	Operating assistance	2,519,865	
SON030012	SantaRosa Bus	Bus Stop Enhancements	25,454	
SON030005	Son Co Transit	Preventive Maintenance	840,217	
SON050021	Son Co Transit	Bus Stop Improvement Project	8,484	
SOL990039	Vacaville	Preventive Maintenance	645,450	
SOL050037	Vacaville	Replace (7) 1995 30' Gillig Phantom Buses	838,059	
SOL050040	Vallejo	Replace 19 40' Gillig buses	4,566,120	
SCL990046	VTa	Preventive Maintenance	28,210,959	
SCL050047	VTa	De Anza Transit Center - Transit Enhancements	387,170	
SCL050048	VTa	CCTV / Video on Demand SecuritySystem	400,000	
SCL030005	VTa	Guadalupe Corridor LRT Platform Rehab & Retrofit	1,097,273	1,510,000
SCL050002	VTa	LRT Rail Rehabilitation	1,717,709	172,291
SCL050049	VTa	Rail Substation Rehabilitation / Replacement		110,000
CC-050040	Westcat	Replace (2) 35' 1997 35' Thomas buses	665,526	

FY 2006-07 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Surplus Funds - Regional Capital Inventory Improvements</u>				
	Regional	Capital Inventory Improvements	1,000,000	
<u>Surplus Funds - Increase Two Caltrain Project Caps to \$13 Million</u>				
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		5,477,041
	VTA	Offset to Increase in Caltrain Project Caps ³	-2,731,690	
<u>Surplus Funds - 80% using 10% flexible set-aside formula</u>				
ALA991070	AC Transit	Preventive Maintenance	8,447,186	
20600003563	ACE (SJRC)	Signal Upgrade Project (Stockton to Lathrop)		943,142
	BART	General Mainline Renovation	11,777,080	7,886,316
	CCCTA	Complete APC and AVA with Security Upgrades	400,000	
	CCCTA	On-Board Security Cameras	280,000	
	CCCTA	Operations Facility Security Camera System	100,000	
	CCCTA	Improved Locks and Alarms at Operations Facilities	52,000	
	CCCTA	Emergency Communications Equipment	20,000	
CC-030034	CCCTA	Preventive Maintenance	325,298	
	ECCTA	Luminator Demonstration Project	511,904	
MRN991039	GGBHTD	MIS - Maintenance Management System	1,200,000	
MRN010035	GGBHTD	Radio/AVL System Replacement	1,836,912	
ALA030030	LAVTA	Preventive Maintenance	628,279	
	MUNI	Potrero-Presidio Fall Protection System	600,000	
SF-970105	MUNI	Third St. IOS/MME	14,365,875	2,534,125
	MUNI	Light Rail Vehicle Safety Mods		2,500,000
	MUNI	Light Rail Vehicle-Overhaul Program		2,068,792
	SamTrans	Replacement of SamTrans Fare Collection Equipment	2,585,456	
SON050016	Son Co Transit	CNG Bus Purchase	124,267	
	Union City	Revenue Vehicle Replacement	97,488	
SOL030019	Vallejo	Preventive Maintenance (bus & ferry systems)	1,054,518	
CC-030025	Westcat	Preventive Maintenance	249,824	
<u>Surplus Funds - CARB Needs</u>				
MRN010032	ECCTA	CARB (6) Filters Mitigation for CC-050043	120,000	
	GGBHTD	Acquire 82 Bus Catalyst Devices	2,060,000	
	LAVTA	CARB (12) Filters Mitigation for ALA050048	240,000	
SM-050036	SamTrans	Bus Repl: (76) 40' Alt Fuel Vehicles	1,520,000	
	Vallejo	CARB (27) Filters Mitigation for SOL050040, SOL0500	540,000	
	Vallejo	CARB Replace (3) 1987 40' MCI Buses	1,023,057	
	WestCAT	CARB (11) Filters Mitigation for SOL050040, SOL0500	220,000	
	WestCAT	Replace (6) 1988 35' revenue vehicles (CARB)	2,046,114	
<u>Surplus Funds - Security Needs</u>				
	AC Transit	Transit Security Project	6,250,780	
	ACE	Security Needs	174,062	
SM-030010	BART	High Priority Security Project	19,424,309	
	Caltrain	Systemwide Security	2,875,807	
	GGBHTD	Transit Safety and Security Improvements	393,000	
SF-030004	Muni	Security Improvements & Graffiti Prevention	2,270,374	
		Total Program	161,924,182	112,778,294
		Fund Balance	0	3,090,479

Notes:

The 2/22/06 revision includes revised revenue projections and the programming of \$210,910,298 of additional projects following the Transit Capital Priorities policy contained on pages 25 and 26 of MTC Resolution 3688, Revised.

- 1) Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas, did not wish to participate in the ADA or 10% flexible set-aside programmi
- 2) ACE has also elected to not claim funds in the Livermore and San Jose UAs. This limits the amount of funding that ACE can receive from the remaining eligible UAs (San Francisco-Oakland and Concord) to \$1,057,000 per eligible project category.
- 3) VTA will amend other projects to offset increase in Caltrain project caps.

FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<i>Revenue Projections</i>			213,990,000	121,044,000
<i>Less FTA Liaison Position</i>			80,000	
<i>Previous Year Carryover</i>			0	3,090,479
<i>Inter -Urbanized Area Transfer</i>			0	
<i>Funds Available for Programming</i>			213,910,000	124,134,479
<i>Enhancement Requirement</i>			2,031,966	
<i>Revised Total</i>			213,910,000	124,134,479
<u>Programming Commitments</u>				
SM-030014	Caltrain	Rapid Rail Projects		2,512,995
<u>ADA Set-Aside</u>				
ALA990076	AC Transit	ADA Set-aside	4,133,197	
ALA050042	ACE	ADA Set-aside	517,857	
REG050007	BART	ADA Set-aside	3,055,143	
REG050001	Caltrain	ADA Set-aside	1,041,464	
CC-990045	CCCTA	ADA Set-aside	676,581	
CC-030035	ECCTA	ADA Set-aside	471,124	
MRN99T001	GGBHTD	ADA Set-aside	1,171,041	
ALA990077	LAVTA	ADA Set-aside	286,579	
NAP030004	Napa VINE	ADA Set-aside	22,481	
SF-990022	SF Muni	ADA Set-aside	3,921,868	
SM-990026	SamTrans	ADA Set-aside	1,042,748	
SCL050046	SCVTA	ADA Set-aside	3,499,495	
SOL990040	Vallejo Transit	ADA Set-aside	584,502	
CC-990045	WestCat	ADA Set-aside	113,375	
<u>10% Flexible Set-Aside</u>				
ALA010034	AC Transit	Maintenance Facility & Equipment Upgrades	1,593,896	
ALA050041	AC Transit	Information Systems Upgrade	500,000	
SCL050043	ACE	Santa Clara Platform and Pedestrian Improvements	238,982	
REG050006	BART	Strategic Maintenance	5,298,260	
SM-030006	Caltrain	Track Rehab	828,888	
CC-030034	CCCTA	Preventive Maintenance	345,682	
CC-030037	ECCTA	Preventive Maintenance	315,728	
MRN050025	GGBHTD	Facilities Rehabilitation	400,000	
MRN010034	GGBHTD	Preventive Maintenance	352,419	
ALA030030	LAVTA	Preventive Maintenance	233,285	
SF-050025	MUNI	Flynn Facility Ventilation	1,470,431	
SF-050026	MUNI	Escalator Rehabilitation	2,000,000	
SF-050027	MUNI	Trolley Coach Rebuild 60 Articulated New Flyers	1,000,000	
SF-991001	MUNI	Woods Maintenance Facility Rehab	1,000,000	
NAP97AM58	Napa VINE	Preventive Maintenance	174,893	
SM-030023	SamTrans	Preventive Maintenance	640,885	
SON030005	Sonoma Transit	Preventive Maintenance	30,876	
ALA050044	Union City	Preventive Maintenance	24,165	
SOL030019	Vallejo	Preventive Maintenance	261,395	
SOL991055	Vallejo	Bus Maintenance Facility Rehab	279,421	
SCL990046	VTa	Preventive Maintenance	3,713,227	
CC-030025	WestCat	Preventive Maintenance	61,927	
<i>Total Program Set-asides and Commitments</i>			41,301,815	2,512,995
<i>Funds Available for Programming</i>			172,608,185	121,621,484

FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
Capital Projects				
ALA990052	AC Transit	Paratransit Vehicle Lease	777,147	
ALA010056	ACE (SJRC)	Track Improvements	8,419	880,441
BRT971001	BART	Rail, Way and Structures Program	239,426	12,760,574
BRT030004	BART	Train Control Renovation		13,000,000
BRT030005	BART	Traction Power		13,000,000
SOL050035	Benicia	Replace (2) 2000 Chevy Mini Vans with cut-aways	133,625	
MTC050033	Caltrain	Signal/Communication Rehabilitation	66,783	2,957,217
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		7,500,000
CC-050038	CCCTA	Replace 38 LINK vans and 12 flexvans	3,340,622	
SOL010006	Fairfield	Operating assistance	2,173,776	
MRN050023	GGBHTD	Replace 34 - 1991 40' TMC	14,293,951	
MRN030011	GGBHTD	Ferry Major Components	40,159	199,841
MRN030010	GGBHTD	Fixed Guideway Connectors		2,000,000
MRN990017	GGBHTD	Ferry channel & berth dredging.		2,000,000
ALA050051	LAVTA	Replace (3) 2000 24' Paratransit Vehicles	397,189	
SF-970073	MUNI	Cable car vehicle renovation program	355,542	483,114
SF-99T002	MUNI	Cable Car Traction Power & Guideway Rehab		7,500,000
SF-050024	MUNI	Wayside Train Control		3,200,000
SF-950037	MUNI	SF Muni Rail Replacement Program 1998-20		13,000,000
SF-970170	MUNI	Trolley Overhead Reconstruction		13,000,000
NAP970010	Napa	Operating assistance	1,179,907	
SM-050036	SamTrans	Repl. (76) 1993 Gillig 40' buses with hybrid buses	20,000,000	
SM-050039	SamTrans	Replace (10) 2001 El Dorado paratransit vehicles	1,323,963	
SON030011	SantaRosa Bus	Operating assistance	2,595,481	
SON030012	SantaRosa Bus	Bus Stop Enhancements	26,217	
SON030005	Son Co Transit	Preventive Maintenance ¹	1,726,546	
SON050021	Son Co Transit	Bus Stop Improvement Project	8,739	
SOL050038	Vallejo	Replace 5 1983 40' RTS buses	1,705,095	
SOL050039	Vallejo	Replace 10 Paratransit Vans	483,214	
SOL030022	Vallejo	Ferry Major Components Rehabilitation	6,488	678,512
SOL990039	Vacaville	Preventive Maintenance	1,528,025	
SCL990046	VTA	Preventive Maintenance	8,855,278	
SCL030005	VTA	Guadalupe Corridor LRT Platform Rehab & Retrofit	15,659,104	6,911,933
SCL050044	VTA	Replace Bus Fareboxes	4,805,491	
SCL050047	VTA	De Anza Transit Center - Transit Enhancements	398,787	
SCL050048	VTA	CCTV / Video on Demand SecuritySystem	410,000	
SCL050002	VTA	LRT Rail Rehabilitation	890,000	
SCL050049	VTA	Rail Substation Rehabilitation / Replacement	1,250,000	
SCL050050	VTA	LRT Crossovers & Switches	500,000	
CC-050039	Westcat	Replace 10 2002 Paratransit Vehicles	668,124	

FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Surplus Funds - Increase Two Caltrain Project Caps to \$13 Million</u>				
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		5,522,959
<u>Surplus Funds - 80% using 10% flexible set-aside formula</u>				
ALA991070	AC Transit	Preventive Maintenance	12,408,971	
20600003563	ACE (SJRC)	Signal Upgrade Project (Stockton to Lathrop)		1,466,082
	BART	General Mainline Renovation	32,233,533	2,337,629
SM-030014	Caltrain	Rapid Rail Related Projects Pt. II		4,424,561
	CCCTA	Complete APC and AVA with Security Upgrades	320,000	
	CCCTA	On-Board Security Cameras	160,000	
	CCCTA	Operations Facility Security Camera System	80,000	
	CCCTA	ADA Bus Stops at County Connection Offices	160,000	
CC-030034	CCCTA	Preventive Maintenance	1,804,951	
	CCCTA	Scheduling Software Components for Planning	40,000	
	ECCTA	Luminator Demonstration Project	488,096	
CC-050029	ECCTA	Park and Ride Lots	2,220,471	
MRN010035	GGBHTD	Radio/AVL System Replacement	663,088	
MRN050025	GGBHTD	Maintenance/Operating Facilities Rehab	500,000	
MRN970017	GGBHTD	Ferry Dredging - Fixed Guideway Replace./Rehab.		2,000,000
MRN030010	GGBHTD	Ferry Fixed Guideway Connectors		909,362
ALA030030	LAVTA	Preventive Maintenance	1,402,730	
SF-050027	MUNI	Articulated Trolley Coach Rehab	1,798,000	
	MUNI	Light Rail Vehicle Safety Mods	3,502,697	3,997,303
	MUNI	Light Rail Vehicle-Overhaul Program	8,500,000	
SF-970105	MUNI	Third St. IOS/MME	2,400,000	
SF-950037	MUNI	SF Muni Rail Replacement Program 1998-20	800,000	
SF-970170	MUNI	SF Muni Trolley Overhead Recon. Program	500,000	
SF-99T002	MUNI	Cable Car Infrastructure	500,000	
SF-030013	MUNI	Wayside fare Collection	700,000	
SF-990003	MUNI	Radio Replacement	4,017,066	
	MUNI	Replace Misc. Equipment	300,000	
	MUNI	Subway Fire Alarm & Detection Systems Replacement	1,500,000	
	MUNI	Potrero/Presidio - Trolley Coach Lifts	2,500,000	
	MUNI	Islais Creek Motor Coach Facility	1,000,000	
SF-030004	MUNI	Security Improvements & Graffiti Prevention	404,134	
	SamTrans	Replacement of SamTrans Fare Collection Equipment	3,798,052	
SON050016	Son Co Transit	CNG Bus Purchase	0	
	Union City	Revenue Vehicle Replacement	143,210	
SOL030019	Vallejo	Preventive Maintenance (bus & ferry systems)	1,549,094	
CC-030025	Westcat	Preventive Maintenance	366,993	
		Total Program	172,608,185	119,729,529
		Fund Balance	0	1,891,956

Notes:

The 2/22/06 revision includes revised revenue projections and the programming of \$210,910,298 of additional projects following the Transit Capital Priorities policy contained on pages 25 and 26 of MTC Resolution 3688, Revised.

- 1) Sonoma County Transit is funding their 10 1996 CNG buses with alternative fund sources and will swap out the funds for preventive maintenance.
- 2) Operators in the Santa Rosa , Fairfield, and Vacaville Urbanized Areas, did not wish to participate in the ADA or 10% flexible set-aside programming elements.
- 3) ACE has also elected to not claim funds in the Livermore and San Jose UAs. This limits the amount of funding that ACE can receive from the remaining eligible UAs (San Francisco-Oakland and Concord) to \$1,057,000 per eligible project category.